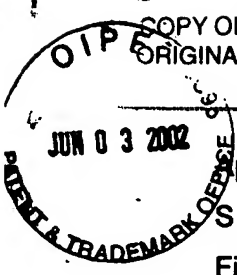


DAC #



COPY OF PAPERS  
ORIGINALLY FILED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xuzhi Qin  
Examiner: P. Tucker  
Serial N. 10/038,350  
Group Art Unit: 1712  
Filed: November 20, 2001  
Docket No. 589.117US1  
Title: PHOTOCROMIC NAPHTHOPYRAN COMPOUNDS: COMPOSITIONS  
AND ARTICLES CONTAINING THOSE NAPHTHOPYRAN COMPOUNDS


Commissioner For Patents  
Box DAC  
Washington, D.C. 20231

RECEIVED  
JUN 06 2002  
OFFICE OF PETITIONS

The following documents are hereby submitted:

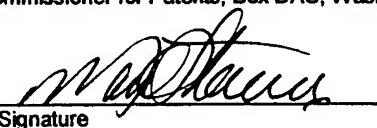
- ☒ Petition under 37 C.F.R. §1.10 for Request for Corrected Filing Receipt
- ☒ Copy of cancelled postcard (front and back)
- ☒ Copy of Express Mail Label
- ☒ Copy of Response to Request for Corrected Filing Receipt
- ☒ Authorization of \$130.00 for Petition Fee
- ☒ Transmittal Sheet
- ☒ Return postcard

MARK A. LITMAN & ASSOCIATES, P.A.  
York Business Center, Suite 205, 3209 W. 76<sup>th</sup> St.  
Edina, MN 55435 (952-832-9090)

By:   
Atty: Mark A. Litman  
Reg. No. 26,390

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231 on 17 May 2002

Mark A. Litman  
Name

  
Signature



COPY OF PAPER  
ORIGINAL FILED

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

**COPY**

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/038,350	01/07/2002	1712	740	589.117US1		20	1

CONFIRMATION NO. 7050

**FILING RECEIPT**



\*OC00000007486807\*

Mark A. Litman & Associates, P.A.  
York Business Center, Suite 205  
3209 West 76th St.  
Edina, MN 55435

Date Mailed: 02/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Xuzhi Qin, Hacienda Heights, CA;

**Assignment For Published Patent Application**

Vision-Ease Lens, Inc.;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: 07/10/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Photochromic naphthopyran compounds: compositions and articles containing those naphthopyran compounds

**Preliminary Class**

RECEIVED

JUN 06 2002

OFFICE OF PETITIONS

**received**  
2/19/02 AM

COPY

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BACK →

Receipt is hereby acknowledged for the following in the U.S. Patent  
and Trademark Office:

20/20/10

055850/01  
10/038350  
U.S. PTO 0901

Applicant: Xuzhi Qin

Serial No. New Application

Filed: November 20, 2001

Docket No. 589.117US1

Title: PHOTOCROMIC NAPHTHOPYRAN COMPOUNDS: COMPOSITIONS AND  
ARTICLES CONTAINING THOSE NAPHTHOPYRANS COMPOUNDS



Contents:

- ☒ Patent application including 31 pages of specification, 20 claims and 1 page of abstract
- ☒ Signed Declaration and Power of Attorney
- ☒ Assignment to Vision-Ease Lens, Inc., Recordation Form Cover Sheet, Authorization for \$40
- ☒ Transmittal Sheet
- ☒ Return postcard

COPY OF PAPERS  
ORIGINALLY FILED

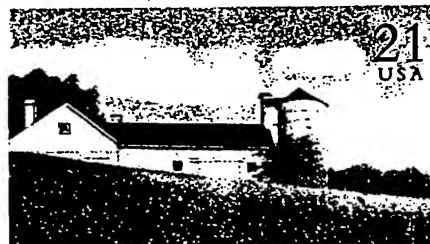
Express Mail® mailing number: EL703681749US

Date of Deposit: November 20, 2001

received  
1/18/02 AM



FRONT →



MARK A. LITMAN & ASSOCIATES, P.A.  
YORK BUSINESS CENTER, SUITE 205  
3209 WEST 76<sup>th</sup> ST.  
EDINA, MN. 55435



Return Postcard

RECEIVED

JUN 06 2002

OFFICE OF PETITIONS

COPY

# POST OFFICE TO ADDRESSEE

COPY OF PAPERS  
ORIGINALLY FILED  
EXPRESS



\*EL703681749US\*

EL703681749US

Financ C py  
Label 11-F July 1997

## ORIGIN (POSTAL USE ONLY)

PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date in Mo. Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$
Time in <input type="checkbox"/> AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No. Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$

## DELIVERY (POSTAL USE ONLY)

Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		
Signature of Addressee or Agent		
X		
Name - Please Print		
X		

## CUSTOMER USE ONLY

METHOD OF PAYMENT: Express Mail Corporate Acct. No. <b>X551313</b>	<input type="checkbox"/> <b>WAIVER OF SIGNATURE</b> (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.
Federal Agency Acct. No. or Postal Service Acct. No.	<b>NO DELIVERY</b> <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday

<b>FROM: (PLEASE PRINT)</b> PHONE <b>952.832-9090</b> <b>MARK A LITMAN &amp; ASSOCIATES</b> <b>3209 W 76TH ST STE 205</b> <b>YORK BUSINESS CENTER</b> <b>MINNEAPOLIS MN 55435-5248</b> <b>589.117US1</b>	<b>TO: (PLEASE PRINT)</b> PHONE ( ) <b>BCX Patent Application</b> <b>ASSISTANT COMMISSIONER</b> <b>FOR PATENTS</b> <b>WASHINGTON</b> <b>SUBPENS 10N</b> <b>SUBPENS 10N</b> <b>SUBPENS 10N</b>
--	---

**PRESS HARD.**

You are making 3 copies.

**FOR PICKUP OR TRACKING CALL 1-800-222-1811**

**www.usps.gov**



**COPY**

**RECEIVED**  
**JUN 06 2002**  
**OFFICE OF PETITIONS**



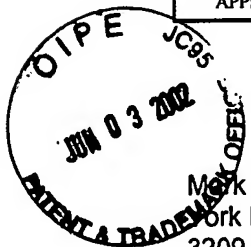
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

Examiner: Phillip Tucker

COPY

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/038,350	01/07/2002	Xuzhi Qin	589.117US1

Mark A. Litman & Associates, P.A.  
New York Business Center, Suite 205  
3209 West 76th St.  
Edina, MN 55435COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 7050



\*OC000000007971468\*

Date Mailed: 04/29/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Application Filing Date

RECEIVED

JUN 06 2002

OFFICE OF PETITIONS

In response to your request for a corrected Filing Receipt, the Office can not comply with your request because:

- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a petition to accord a filing date should be submitted along with a copy of the Express Mail label showing the "date in" and be directed to the Office of Petitions.
- ☒ A copy of the Express Mail label was not enclosed with your request. Petitions under 37 CFR 1.10 require a copy of the Express Mail Label to be submitted.
- ☐ The "date in" on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☐ Applications submitted with a certificate of mailing under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office. A request to review the filing date accorded to the application must be in the form of a petition.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt. A request to review the filing date accorded to the application must be in the form of a petition.

received  
5/6/02 AM

A petition under 37 CFR 1.103 or 37 CFR 1.53 or 37 CFR 1.182 is needed to request a change to the filing date. A \$130 petition fee is required for a petition under 1.53 or 1.182. If you choose to file a petition, the petition and the \$130 petition fee, if any, to:

Box DAC  
Commissioner for Patents  
Washington, DC 20231

---

COPY

*Nupur Karopras*

Customer Service Center  
Initial Patent Examination Division  
(703) 308-1202

Petitions 1-703-305-9282